### (19) World Intellectual Property Organization International Bureau





(43) International Publication Date 27 January 2005 (27.01.2005)

PCT

# (10) International Publication Number WO 2005/007125 A1

(51) International Patent Classification?: A61K 7/06, A61P 17/14, A61K 31/215, 31/455, 31/695

(21) International Application Number:

PCT/IB2004/002241

(22) International Filing Date:

5 July 2004 (05.07.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 01258/03

18 July 2003 (18.07.2003) CH

- (71) Applicant (for all designated States except US): GECOMWERT ANSTALT [LI/LI]; c/o Schreiber & Zindel, Kirchstrasse 39, FL-9490 Vaduz (LI).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): MONTANARI, Danlela [IT/IT]; Via Roncon 35, I-35020 Albignasego-Padova (IT). GUGLIELMO, Manuela [IT/IT]; Via Roma, 60, I-30030 Vigonovo (IT).
- (74) Agent: FIAMMENGHI-DOMENIGHETTI, Delfina; c/o Fiammenghi-Fiammenghi, Via San Gottardo, 15, CH-6900 Lugano (CH).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: PREPARATION FOR TOPICAL USE WITH THE FUNCTION OF COMBATING HAIR LOSS

(57) Abstract: A preparation for topical use containing benzyl nicotinate and having the function of combating and/or delaying hair loss, whose composition comprises: - two amino acids, hydroxyproline and aspartic acid, complexed with a silanol, - an enzyme activator, comprising octyl butyrate is described.



## 10/537296 JC17 Rec'd PCT/PTO 02 JUN 2005

Preparation for topical use with the function of combating hair loss.

5

This invention relates to the field of preparations for topical use used to combat and/or delay hair loss.

Hair loss represents detachment of the hair from the follicle and is a physiological phenomenon which forms part of the normal cyclical reproduction of hair.

On average physiological hair loss does not exceed 50-80 hairs per day. If the number of hairs lost is greater than this average then this constitutes anomalous hair loss.

15 Hair loss is a phenomenon causing extreme anxiety, for which remedies are sought through the use of products to prevent it.

This is the background to this invention; it relates in fact to a preparation for topical use, for cosmetic use, which is capable of combating hair loss through of its action targeted on the hair follicle, that is the structure which contains the root of the hair. The original feature of the preparation comprises its method of action which: 1) helps to promote the elasticisation of the follicle walls, 2) increases the anchoring of the hair root in the follicle, to hold it in place and prevent detachment.

In hair distinction is made between the shaft of the hair, or the free part, which projects from the external surface of the skin, and the root, which is implanted in the skin and held in the follicle. The deepest part of the root is called the bulb of the hair.

35 The hair therefore grows within an invagination in the epidermis of the skin, or the follicle, which therefore comprises an epidermal part and an external part which is continuous with the dermis. The hair follicle wall

/IB2004/002241 WO 2005/007125

is therefore formed externally of a layer of connective tissue and internally of an epithelial layer.

From the outside inwards the follicle during anagen therefore comprises the following structures: connective tissue sheath, outer epithelial inner epithelial sheath.

The connective tissue sheath surrounds the follicle throughout its entire length continuing below into the connective tissue of the dermal papilla. Damage to the sheath may prevent a follicle engaging in normal anagen.

10

35

The connective tissue sheath is formed by a thickening of the connective tissue in which it is possible to 15 distinguish, depending upon the orientation, collagen fibres and elastic fibres, an outer layer, an inner layer and a vitreous layer in contact with the cells of the follicle's epidermis.

outer epithelial sheath continues the The 20 epidermis and extends from the mouth of the follicle, that is from the point where the hair emerges, to the bulb, where it continues within the matrix. In the upper portion it has a structure similar to that of the epidermis; further down it is only formed by the basal 25 and spinous layers. The follicle epidermis with the spinous layer is therefore in contact with outermost layer of the sheath of the root (Henle's layer).

The inner epithelial sheath surrounds and covers the 30 hair root as far as the mouth of the follicle, where it disintegrates and is removed. From the outside inwards it comprises three layers: Henle's layer (in contact with the epidermis of the follicles), Huxley's layer and the cuticle which adheres closely to the cuticle of the hair. The inner epithelial sheath can be regarded as being similar to the granular and lucid layers of epidermis. Given the structural complexity follicles it is obvious that satisfactory functioning of the biological components which are directly or WO 2005/007125

10

15

20

25

30

35

/IB2004/002241

indirectly involved in the follicle's structure is fundamental in order to hold the root within the follicle and to allow the hair to experience a correct life cycle, that of growth, involution and rest prior to falling out.

Transglutaminases are enzymes which are found in many cell compartments. The intermolecular cross-linking catalysed by transglutaminases is of extreme importance in the keratinisation of the epidermis and hairs.

Epidermal transglutaminases are located in the granular layer, where they have an important part to play; they stabilise the bond between lysine and residues in order to form bridges between different structural proteins. This protein-protein link necessary to form the corneccytes' enclosure during the process of keratinisation. The glutamyl-lysine links represent an important marker for differentiation of the epidermis. In fact many of the characteristics attributed to these tissues, such as strength, stability and impermeability, are due in part to the presence of transglutaminases.

Follicular transglutaminases are located in the inner sheath of the root. These enzymes help through forming links between structural proteins, and consequent cross-linking, increasing the strength of the hair, especially at the base, where it is attached to the scalp. Disturbances in the formation of these cross-links may result in hairs having diminished cohesion or tensile strength.

Because qualitative and quantitative damage to collagen and elastic fibres (fundamental components of the connective tissue) due to causes of various natures has an effect on the elasticity of the tissues, it is important to maintain the nutrition of the epidermal and connective tissue structures present in the sheaths forming the follicle and the tissues receiving and surrounding the follicle in the scalp. Cross-linking of

the protein structures which helps to secure the root in the follicle through the formation of glutamyllysine bonds during the process of keratinisation which takes place in the sheaths is also important.

5 Disturbance or damage to these configurations may bring about major structural changes and diminished cohesion between the root and the follicle.

The preparation to which the invention relates is designed to slow down hair loss through the synergistic action of its functional components.

From a formulative point of view the invention makes use of all the known loss-preventing potential of a vasodilator, benzyl nicotinate, (which is fundamental

- 15 to action to combat hair loss in that by locally increasing the blood flow it provides an adequate input of nutrient substances and oxygen to the hair) included in a water-alcohol vehicle enriched with pantenol and menthol.
- However the original feature of the invention lies in its method of action and above all in the target of the action to combat hair loss, which is specifically the hair follicle. This action takes the following forms:
- it encourages elasticisation of the follicle walls in order to prevent them from hardening, thanks to the presence of two amino acids, hydroxyproline and aspartic acid, which maintain the function of the connective tissue structure surrounding and supporting the follicle,
- 30 it helps to anchor the hair root in the follicle by holding it in its seat, delaying detachment and therefore loss thanks to the presence of an enzyme activator which acts on the transglutaminases.
- 35 It is known that skin damage is the result of exogenous and endogenous factors which are specific to each individual. Some harmful exogenous factors are UV rays, free radicals, ageing.

Cell activity as a whole decreases, causing qualitative and quantitative damage to the collagen and elastic fibres. Tissue regeneration decreases and hardening of the tissues occurs through damage to the cellular components making up the connective tissue which prevents sclerosis. All this is reflected in a diminution of the elasticity of the skin.

The two amino acids hydroxyproline and aspartic acid, included in a silanol, monomethylsilanol-hydroxyproline aspartate (silanols are organic derivatives of silicon, 10 very rich in hydroxyl groups and synthesised in the presence of different radicals · which give stability and specificity), have a cytostimulant effect. They in fact encourage the process of cell 15 division in the fibroblasts. The fibroblasts present in the dermis synthesise collagen, elastin and components of the extracellular matrix; an increase in their number may result in greater synthesis of these molecules.

- 20 It can therefore be said that hydroxyproline and aspartic acid when complexed in a silanol can contribute to improving and normalising the connective tissue structures restoring elasticity to skin tissues.
- The inventors have considered it important to apply 25 this action to the scalp by selecting monomethyl silanol-hydroxyproline aspartate as one of the active ingredients of the preparation according invention. As previously described, hair follicles are 30 derived from an invagination in the skin and comprise epidermal part and a connective tissue part in continuity with the dermis of the skin itself. This is of action of the two amino hydroxyproline and aspartic acid, which form part of 35 the complex with the silanol.
  - Its purpose is to limit hardening of the tissues encouraging elasticisation of the follicle walls. In this way follicles are helped to maintain optimum

10

physiological conditions for holding the hairs which they contain in their seats.

- 6 -

The cell-stimulating action of the complex of amino acids with silanol has been tested on human fibroblast cultures. The increase in cell growth was 46% when that active ingredient was present in the culture medium.

It is also pointed out that silicon, as a component of the complex described above, is an essential element in the mucopolysaccharide-protein complexes of connective tissue. Acting as a cross-linking agent, silicon can contribute to the structural integrity of the connective tissue which comes in contact with the hair bulb.

- The inventors then identified octyl butyrate as the socalled enzyme activator, that is the molecule capable of stimulating the activity of transglutaminases in the cells of the scalp in order to help increase the anchoring of hairs at their attachment sites.
- 20 The choice of octyl butyrate came from the observation some molecules are capable of transglutaminase synthesis; this led the researchers to investigate the case of sodium butyrate. This substance οf stimulating the capable synthesis 25 transglutaminases in keratinocytes and formation of the corneified enclosure of the corneocytes (keratinocytes in the final stage of differentiation).

Being a substance which cannot be used in cosmetics because of its bad smell, its effects have been reproduced by an ester of butyric acid, octyl butyrate, an ester of octanol and butyric acid, which is then hydrolysed by skin enzymes such as esterases.

The role of octyl butyrate, the enzyme activator in the invention in question, is that of stimulating the activity of transglutaminases in the cells of the scalp in order to help increase the anchoring of hairs to their sites of attachment.

Peptides rich in glutamine, derived from cereals, enrich the preparation to which the invention relates and contribute synergistically to the activity of the octyl butyrate. They in fact represent an excellent substrate for transglutaminases.

An additional comment concerning the above glutamine peptides: the amino acid glutamine is regarded as being the "fuel" of cell emergence, both when glucose is deficient and when the cells are in a stage of strong growth and multiplication. This is the situation of the hair follicle cells during the anagen phase. The glutamine peptides therefore support the octyl butyrate in the preparation in question by acting as an energy supplement for the cells which are undergoing strong multiplication.

With the specific object of evaluating the hair loss-combating activities of this specific active ingredient a self-assessment trial was performed on 20 volunteers for three months against placebo, which revealed the following:

- in the case of the treated group:

before treatment most of the volunteers had visible alopecia. The amount of hair loss was great in 22%,

25 average in 67% and small in 11%.

After 3 months treatment with the lotion containing enzyme activator 67% of the volunteers observed a decrease in hair loss.

- in the case of the placebo group:

30 77% of the volunteers had visible alopecia. The amount of hair loss was average for 66% and small for 33%.

After 3 months use of the placebo lotion the amount of hair loss appeared to be unchanged. No visible improvements were observed.

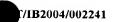
35

10

15

20

The hair loss-combating effectiveness of the preparation in its entire formulation was tested through a clinical trial conducted by a specialised institute.



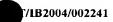
- 20 volunteers of the male and female sex having telogen effluvium problems were selected for the purpose and used the preparation once a day on alternate days for 60 consecutive days.
- 5 At the start of the test, after 1 month's treatment, and after 60 days of use, the degree of resistance to traction was clinically evaluated by the Pull test and hairs lost after washing of the scalp under controlled conditions were counted (Wash test).
- 10 An objective examination of the condition of the scalp, a subjective evaluation of effectiveness by the volunteers and a psychological evaluation relating to satisfaction were also performed.

Analysis of the results revealed:

- 15 a statistically significant increase in resistance to traction both after 30 days and after 60 days application of the preparation
  - a statistically significant decrease in the number of hairs lost during washing both after 30 days and after
- 20 60 days of application of the preparation
  - from the subjective point of view the volunteers observed a decrease in the fall-out of hairs as well as a decrease in the level of seborrhoea.
- 25 The subject matter of this invention therefore comprises a preparation as described in appended claim 1.
- A description of a preferred embodiment of the preparation according to the invention in which all the components and active ingredients described previously are present will now be provided.

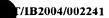
The composition of this embodiment comprises:

- between 0.001 and 0.2% by weight of octyl butyrate,
- between 0.001 and 0.2% by weight of glutamine 35 peptides,
  - between 0.001 and 0.5% by weight of monomethylsilanol-hydroxyproline aspartate,
  - between 0.05% and 0.15% by weight of benzyl nicotinate,



- between 0.05% and 0.5% by weight of pantenol.

A liquid vehicle, for example ethyl alcohol and water, one or more perfumed essences, such as menthol and the like, and preservatives are added to this composition. The preparation may find specific indication for different stages of severity of hair loss using increasing percentages of the active ingredients (octyl butyrate, glutamine peptides, monomethylsilanol-hydroxyproline aspartate, benzyl nicotinate) in proportion to the increased intensity of hair loss.



### Claims

- 1. Preparation for topical use containing benzyl nicotinate and having the function of combating and/or delaying hair loss, characterised in that its composition comprises:
  - two amino acids, hydroxyproline and aspartic acid, complexed with a silanol,
  - an enzyme activator, comprising octyl butyrate.

10

20

5

- 2. Preparation according to claim 1, the composition of which also includes glutamine peptides.
- 3. Preparation according to one of the preceding claims, the composition in which also comprises pantenol.
- 15 4. Preparation according to claim 3, characterised in that its composition comprises:
  - a) octyl butyrate in an amount between 0.001 and 0.2% by weight,
  - b) glutamine peptides in an amount between 0.001 and 0.2% by weight,
    - c) monomethylsilanol-hydroxyproline aspartate in an amount between 0.001 and 0.5% by weight,
    - d) benzyl nicotinate in an amount between 0.05 and 0.15% by weight,
- 25 e) pantenol in an amount between 0.05 and 0.5% by weight.
  - 5. Preparation according to claim 4, in which a liquid vehicle, one or more perfumed substances and preservatives are added.
- 30 6. Preparation according to claim 5, to which ethyl alcohol, water, menthol and preservatives are added.

### ABSTRACT OF THE DISCLOSURE

A preparation for topical use containing benzyl nicotinate and having the function of combating and/or delaying hair loss, whose composition comprises: - two amino acids, hydroxyproline and aspartic acid, complexed with a silanol, - an enzyme activator, comprising octyl butyrate is described.



Ilcation No PCT71B2004/002241

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K7/06 A61P17/14

A61K31/215

A61K31/455

A61K31/695

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data

	ENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category *	Ciliation of document, with indication, where appropriate, of the relevant passages	
Α	FR 2 740 331 A (SEDERMA SA) 30 Apr11 1997 (1997-04-30) example 3	1-6
Α	EP 1 205 184 A (BECQUEVORT MICHEL; THABAUT SIMON (FR)) 15 May 2002 (2002-05-15) paragraph '0008! - paragraph '0009!; claim 6	1-6
Α	US 5 157 036 A (GROLLIER JEAN F) 20 October 1992 (1992-10-20) claim 2; example 9	1-6
Α	WO 97/07772 A (QUEST INT; LEVITA PAUL DAVID DE (NL); SCHIE BARTHOLOMEUS JOSEF VAN (N) 6 March 1997 (1997-03-06) the whole document	1-6

Further documents are listed in the continuation of box C.	χ Patent family members are listed in annex.
Special categories of cited documents:  A' document defining the general state of the art which is not considered to be of particular relevance  E' earlier document but published on or after the International filing date  L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  O' document referring to an oral disclosure, use, exhibition or other means  P' document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but clied to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family  Date of malling of the international search report
Date of the actual completion of the international search  10 November 2004	24/11/2004
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL – 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nt,  Fax: (+31-70) 340-3016	Authorized officer Minas, S

Form PCT/ISA/210 (second sheet) (January 2004)



Inter Conal Application No			
PCT71B2004/002241			

Category *	atton) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
A		1-6	
,	US 2 718 028 A (MELVILLE READ DOUGLAS ET AL) 20 September 1955 (1955-09-20) the whole document		
	· .		
	·		
		ļ	

Form PCT/ISA/210 (continuation of second sheet) (January 2004)



Interactional Application No PCT7 I B2004/002241

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
FR 2740331 A	30-04-1997	FR	2740331 A1	30-04-1997
EP 1205184 A	15-05-2002	FR	2816511 A1	17-05-2002
		EP	1205184 A1	15-05-2002
US 5157036 A	20-10-1992	LU	86574 A1	05-04-1988
		ΑT	400671 B	26-02-1996
		AT	224187 A	15-07-1995
•		AU	609055 B2	26-04-1991
		AU	7810287 A	10-03-1988
		BE	1001047 A5	20-06-1989
		CA	1294883 C	28-01-1992
		CH	673391 A5	15-03-1990
		DE	3729953 A1	17-03-1988
		DK	468687 A	09-03-1988
		ES	2008735 A6	01-08-1989
		FR	2603482 A1	11-03-1988
•		GB	2194734 A ,B	16-03-1988
		GR	871387 A1	12-01-1988
		ΪΤ	1211374 B	18-10-1989
	•	ĴΡ	2613222 B2	21-05-1997
		ĴΡ	63068515 A	28-03-1988
		NL	8702110 A ,B	
•		PT	85653 A ,B	01-10-1987
-		SĖ	504489 C2	24-02-1997
		SE	8703461 A	09-03-1988
		ÜS	4968685 A	06-11-1990
WO 9707772 A	06-03-1997	AU	6870696 A	19-03-1997
NO 3/0///2	, 00 00 100,	WO	9707772 A1	06-03-1997
		EP	0845974 A1	10-06-1998
		JР	11512091 T	19-10-1999
US 2718028	20-09-1955	NONE		